

**Notice of References Cited**

Application/Control No.

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Applicant(s)/Patent Under

Reexamination

JONES ET AL.

Examiner

JAMES A. VEZERIS

Art Unit

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**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2003/0225656	12-2003	Aberman et al.	705/36
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
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	I	US-			
	J	US-			
	K	US-			
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**FOREIGN PATENT DOCUMENTS**

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	N					
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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	"Internal Revenue Service Issues Guidance on Popular Equity-Linked Financial Products" By Shearman & Sterling LLP July 2003
	V	
	W	
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a))  
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